

WOOD GUNDY

YOUR 2023 TAX SLIPS CHECKLIST

As your Investment Advisor, I know that keeping track of all of the tax slips you receive at this time of year can be challenging. Our 2023 Tax Slips Checklist can help you stay organized by providing you with a list of tax slips you may be receiving, depending on the type of accounts and investments you hold. If you have any questions about the checklist, or require help determining which slips you should be expecting, please don't hesitate to contact the office.

Registered Retirement Savings Plan (RRSP), Registered Education Savings Plan (RESP) or Registered Retirement Income Fund (RRIF)

You may receive one or more of the following slips if you hold an RRSP, RESP or RRIF:

| DOES IT APPLY TO YOU? | TYPE OF SLIP | INFORMATION ABOUT THE SLIP | EXPECTED MAILING DATE | CHECK <input type="checkbox"/> WHEN RECEIVED |
|--|---------------------------------|---|--------------------------------------|--|
| Yes <input type="checkbox"/> No <input type="checkbox"/> | Contribution Receipts | RRSP contribution receipts are issued for all contributions, regardless of amount, and show all reportable contributions for the tax year. | Throughout February, March and April | <input type="checkbox"/> |
| Yes <input type="checkbox"/> No <input type="checkbox"/> | T4RSP/T4RIF/ Relevé 2 (RL-2) | T4RSPs/T4RIFs are issued for all withdrawals from an RRSP/RRIF, regardless of amount. Quebec Residents: In addition to the T4RSP/ T4RIF, you will receive the provincial tax form, Relevé 2. | Mid-February | <input type="checkbox"/> |
| Yes <input type="checkbox"/> No <input type="checkbox"/> | NR4 | NR4s show actual or deemed withdrawals, regardless of amount, from an RRSP, RRIF or RESP paid to a non-resident of Canada. | End of February | <input type="checkbox"/> |
| Yes <input type="checkbox"/> No <input type="checkbox"/> | T4A/Relevé 1 (RL-1) | T4As are issued for all withdrawals from an RESP, regardless of amount, and show actual or deemed withdrawals. Quebec Residents: In addition to the T4A, you will receive the provincial tax form, Relevé 1. | Mid-February | <input type="checkbox"/> |

Investment Account

You may receive one of the following slips if you hold an investment account:

| DOES IT APPLY TO YOU? | TYPE OF SLIP | INFORMATION ABOUT THE SLIP | EXPECTED MAILING DATE | CHECK <input type="checkbox"/> WHEN RECEIVED |
|--|---------------------------------|---|----------------------------------|---|
| Yes <input type="checkbox"/> No <input type="checkbox"/> | T5/Relevé 3 (RL-3) | <p>Detailing dividend and interest income, a T5 is only issued for amounts C\$50 or greater. It consists of two parts:</p> <p>T5 Supplementary – shows the reportable regular and split share income for the year.</p> <p>Investment Income and Expense Summary – provides the details of the totals, including expense items. Quebec Residents: In addition to the T5, you will receive the provincial tax form, Relevé 3.</p> | End of February | <input type="checkbox"/> |
| Yes <input type="checkbox"/> No <input type="checkbox"/> | T3/Relevé 16 (RL-16) | <p>Detailing trust and mutual fund income, a T3 consists of two parts:</p> <p>T3 Supplementary – shows the reportable capital gains and other income for the taxation year.</p> <p>Summary of Trust Income and Expense – provides the details of the totals, including expense items. It also</p> <p>includes the adjusted cost base portion (return of capital). Quebec Residents: In addition to the T3, you will receive the provincial tax form, Relevé 16.</p> | Throughout March and April | <input type="checkbox"/> <u>Please Note:</u> T3s may be sent in several mailings. Please refer to the Pending list included in your T5 package to determine that all T3s have been received before Filing. Additionally, T3s for Mutual Funds will be sent to you directly from the fund companies |
| Yes <input type="checkbox"/> No <input type="checkbox"/> | T5008 / Relevé 18 (RL-18) | A T5008/RL18 Statement of Securities Transactions is issued for all investment accounts. It lists all sales of securities for the calendar year. | End of February | <input type="checkbox"/> |

| Yes <input type="checkbox"/> No <input type="checkbox"/> | DIAS | A Discount Instrument Annual Summary (DIAS) shows all discounted instruments purchased and sold in the 2023 calendar year. | End of February <input type="checkbox"/> | |
|--|--------------------------|--|---|--|
| Yes <input type="checkbox"/> No <input type="checkbox"/> | T5013/ Relevé 15 (RL-15) | A T5013 is issued for all other limited partnership income. Regardless of the amount, these slips record the partnership’s gain or losses at the partnership’s year-end. Quebec Residents: In addition to the T5013, you will receive the provincial tax form, Relevé 15. | Throughout March and April <input type="checkbox"/> | |
| Yes <input type="checkbox"/> No <input type="checkbox"/> | NR4 | An NR4 is only issued for amounts of C\$50 or greater. It records reportable income from Canadian sources for non-residents of Canada. | End of February <input type="checkbox"/> | |
| Yes <input type="checkbox"/> No <input type="checkbox"/> | 1099-DIV | A 1099-DIV shows all reportable dividends paid to a U.S. person (or individuals subject to U.S. tax laws) during the tax year. | End of January <input type="checkbox"/> | |
| DOES IT APPLY TO YOU? | TYPE OF SLIP | INFORMATION ABOUT THE SLIP | EXPECTED MAILING DATE | CHECK <input type="checkbox"/> WHEN RECEIVED |
| Yes <input type="checkbox"/> No <input type="checkbox"/> | 1099-INT | A 1099-INT shows all reportable interest paid to a U.S. person (or individuals subject to U.S. tax laws) during the tax year. | End of January <input type="checkbox"/> | |
| Yes <input type="checkbox"/> No <input type="checkbox"/> | 1099-B | A 1099-B shows all dispositions for a U.S. person (or individuals subject to U.S. tax laws) during the tax year. | End of January <input type="checkbox"/> | |
| Yes <input type="checkbox"/> No <input type="checkbox"/> | 1042-S | A 1042-S shows all reportable U.S. source income paid to a non-resident of the U.S. during the tax year. | Mid-March <input type="checkbox"/> | |

CIBC Wood Gundy Investment Consulting Service™ (ICS), Portfolio Partner or Advisor Managed Account (AMA)

If you are a CIBC Wood Gundy ICS, Portfolio Partner or AMA client, in addition to the above, you may also receive one or more of the following slips:

| DOES IT APPLY TO YOU? | TYPE OF SLIP | INFORMATION ABOUT THE SLIP | EXPECTED MAILING DATE | CHECK <input type="checkbox"/> WHEN RECEIVED |
|--|-----------------------------|--|---------------------------------|--|
| Yes <input type="checkbox"/> No <input type="checkbox"/> | Realized Gains/ Loss Report | <p>A report providing a summary of the security disposition transactions that occurred in your non-registered account(s) and the resulting gain or loss due to disposition.</p> <p>Note: This summary is for information purposes only, and is not an official document for income tax purposes. It is mailed to ICS and AMA clients only and replaces the Transaction Summary (above) for these accounts.</p> | Mid-February to End of February | <input type="checkbox"/> |

¹ ICS and AMA clients will receive a Realized Gains/Loss Report and a T5008 Tax slip in place of a Transaction Summary

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