

TRUSTED CONTACT PERSON

A trusted contact person is someone who can help us, help you, if you need it.


Why is your advisor asking for a trusted contact person?


A trusted contact person serves as a point of contact if your advisor notices signs of potential financial exploitation or signs of diminishing health, impacting your ability to make financial decisions.

Who should you name as your trusted contact person?


You should name someone you trust, who is mature and can engage in conversations about your personal circumstances. We recommend that the individual not already be involved in making financial decisions on your account, like a power of attorney.

What information may be discussed with my trusted contact person?

 Your mental or physical health status

 Your contact information

 Your account activities or other possible red flags that may signal financial exploitation

 The identity of your legal guardian, executor, trustee, or power of attorney

Your trusted contact person...

- **IS NOT** authorized to transact or trade on your account
- **CANNOT** make decisions about your account
- **DOES NOT** have authority and **IS NOT** your legal guardian, executor, trustee, or power of attorney

Contact your advisor for more details.